

USA PATRIOT Act Notice

IMPORTANT INFORMATION ABOUT PROCEDURES FOR OPENING A NEW ACCOUNT OR ESTABLISHING A NEW CUSTOMER RELATIONSHIP

To help the government fight the funding of terrorism and money laundering activities, Federal law requires all U.S. financial institutions to obtain, verify, and record information that identifies each individual or institution that opens an account or establishes a customer relationship with Standard New York, Inc. (“SNY” or the “Firm”).

What this means: When you open an account, SNY is required to collect information from you including your: (i) name; (ii) date of incorporation; (iii) business address; (iv) identification number; and (v) taxpayer identification number (EIN). A corporation, partnership, trust or other legal entity may need to provide other information, such as its principal place of business, local office, certified articles of incorporation, government-issued business license, a partnership agreement or a trust agreement. US Department of the Treasury, SEC, and FINRA rules already require you to provide most of this information. These rules also may require you to provide additional information, such as your net worth, annual income, investment experience and objectives, and risk tolerance. This information will be used to verify your identity. If you refuse to provide this information or your identity cannot be identified, SNY may be unable to open an account or carry out transactions for you.